

Attorney Docket No.: A-69001/MAK (468967-00003)

3) 10-24-02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Elliott SCHWARTZ, et al.

Serial No.: 09/811,140

Filed: 16 March 2001

For: System and Method to Manage Network-Enabled Embedded Devices Operating Under Various Protocols Examiner: Unknown

Group Art Unit: 2152

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:

Dated: 16 October 2002

Signed: Todd V. LEONE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, DC 20231

Sir:

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants wish to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying form PTO-1449. Copies of the references are enclosed. The cited references came to our attention as a result of the International Search report issued in the PCT application counterpart to the instant U.S. application. A copy of said International Search Report is attached hereto for convenience of reference.

None of the foregoing references is believed to disclose the invention as claimed. Nothing herein shall constitute an admission concerning the contents of any of the cited references, nor shall the inclusion of a reference herein be considered an admission that the reference constitutes prior art against the invention claimed in the above-identified application. Submission of the present document shall not be construed as an admission that a search has been made or that better art does not exist.

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Serial No.: 09/811,140 Filing Date: 16 March 2001

The Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2319 (Our Order No. 468967-00003).

Respectfully submitted,

DORSEY & WHITNEY LLP

Dated: 16 OCT. 2002

Michael A. KAUFMAN

Reg. No. 32,998

Filed under 37 C.F.R. § 1.34(a)

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|       |                   |                |            | Group Art Unit         |  |  |  |
|       | (use as i         | many sheets as | necessary) | Examiner Name          | Unknown  |  |  |
| Sheet | 1                 | of             | 2          | Attorney Docket Number | A-69001/MAK (468967-0002)                                      |  |  |

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|              |                 | Cite Number Kind Co (if known) 5,956,487 6,011,842 6,052,750 US 6,233,611 B1 US 6,282,568 B1 US 6,288,716 B1 | U.S. Patent Document           Number         Kind Code² (if known)         Name of Patentee or Applicant of Cited Document           5,956,487         Venkatraman et al.           6,011,842         Brivet et al.           6,052,750         Lea           US 6,233,611 B1         Ludtke et al.           US 6,282,568 B1         Sondur et al.           US 6,288,716 B1         Humpleman et al. | Cite No.1         Number         Kind Code² (if known)         Name of Patentee or Applicant of Cited Document         Of Cited Document MM-DD-YYYY           5,956,487         Venkatraman et al.         09/21/1999           6,011,842         Brivet et al.         01/04/2000           6,052,750         Lea         04/18/2000           US 6,233,611 B1         Ludtke et al.         05/15/2001           US 6,282,568 B1         Sondur et al.         08/28/2001           US 6,288,716 B1         Humpleman et al.         09/11/2001           US 6,311,220 B1         Fischer et al.         10/30/2001 |  |

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Complete if Known Substitute for form 1449A/PTO 09/811,140 **Application Number** INFORMATION DISCLOSURE 16 March 2001 Filing Date STATEMENT BY APPLICANT Elliott Schwartz et al **First Named Inventor** Group Art Unit 2152 (use as many sheets as necessary) Unknown Examiner Name A-66001/MAK (468967-0002) Attorney Docket Number of 2 Sheet

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| Examiner<br>Initials* |              | Office <sup>3</sup>  | Kind Code²<br>Number⁴ ( <i>if known</i> ) | Name of Patentee or Applicant of Cited Document | Cited Document<br>MM-DD-YYYY          | Passages or Relevant<br>Figures Appear   | T <sup>6</sup> |  |  |
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